

11/08/01  
JC377 U.S. PTO

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Warren P. Williamson IV, Paul A. Spence and  
Craig B. Berky

Atty. Docket: IDX-02A

Title: SURGICAL CLAMP DEVICES AND METHODS ESPECIALLY USEFUL IN CARDIAC  
SURGERY

**UTILITY PATENT APPLICATION TRANSMITTAL**

*BOX PATENT APPLICATION*  
*Assistant Commissioner for Patents*  
*Washington, D.C. 20231*

This is a request for filing, under 37 CFR § 1.53(b), a(n):

- ☐ Original (non-provisional) application.
- ☐ Divisional of prior application Serial No. \_\_, filed on \_\_.
- ☒ Continuation of prior application Serial No. PCT/US00/12877, filed on May 11, 2000.
- ☐ Continuation-in-part of prior application Serial No. \_\_, filed on \_\_.

For Divisional and Continuation applications ONLY:

- ☐ Copy of Executed Declaration, Power of Attorney and Petition Form from parent application is enclosed (as noted below in Enclosures).
- ☒ **Incorporation by Reference:** The entire disclosure of the prior application is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

For all Divisional, Continuation and Continuation-in-part applications:

- ☐ Small Entity Status was requested in the prior application, and small entity status is still proper and desired.
- ☐ This application is assigned to \_\_ by virtue of an earlier assignment filed in the prior application at Reel \_\_, Frame \_\_.

**PRELIMINARY AMENDMENT/CALCULATION OF FEES**

- ☐ Please cancel claims \_\_ without prejudice, and prior to calculating the fees. \_\_ total claim(s), of which \_\_ is(are) independent, is(are) pending after the amendment.
- ☐ Please enter the enclosed preliminary amendment identified below prior to calculating the fees. \_\_ total claim(s), of which \_\_ is(are) independent, is(are) pending after the amendment.
- ☒ **Small Entity Status is Requested**

JC857 U.S. PTO  
09/986260  
11/08/01

09986260 110801

☒ **The Fees are Calculated as Follows:**

Fee:	Number of Claims:	In Excess of:	Extra:	At Rate:	Amount:
Total Claims	62	20	42	\$18	\$756.00
Independent Claims	6	3	3	\$84	\$252.00
MULTIPLE DEPENDENT CLAIM FEE					
BASIC FEE					\$740.00
TOTAL OF ABOVE CALCULATIONS					\$1,748.00
REDUCTION BY 50% FOR FILING BY SMALL ENTITY					\$874.00
TOTAL					\$874.00

**ENCLOSURES**

☒ **Utility Patent Application Transmittal Form (in duplicate).**

☒ **Return Postcard.**

APPLICATION PAPERS

☒ **Utility Patent Application, with: cover sheet, 43 page(s) specification (including 62 total claim(s), of which 6 is(are) independent), and 1 page(s) abstract.**

☒ **Drawings: 10 sheet(s) of informal drawings (15 total figure(s)).**

☐ Microfiche Computer Program (Appendix).

☐ Nucleotide and/or Amino Acid Sequence, including (all are necessary): Computer Readable Copy, Paper Copy (identical to computer copy), and Statement verifying identity of copies.

☒ **An Executed Declaration, Power of Attorney and Petition Form.**

☐ Copy of Executed Declaration, Power of Attorney and Petition Form from prior application identified above.

☐ Certified Copy of priority document(s) identified as attached above.

ADDITIONAL PAPERS

☐ Assignment to \_\_, Recordation Cover Sheet (Form PTO-1595)

☐ Preliminary Amendment (to be entered prior to calculation of fees)

☐ Information Disclosure Statement, \_\_ sheet(s) Form PTO-1449, \_\_ U.S. Patent Reference(s), \_\_ Foreign Patent Reference(s) and \_\_ Other Reference(s)

☐ Other: \_\_

CHECKS

☒ **A Check of \$874.00 for the filing fee.**

☐ A Check of \_\_ for the assignment recording fee.

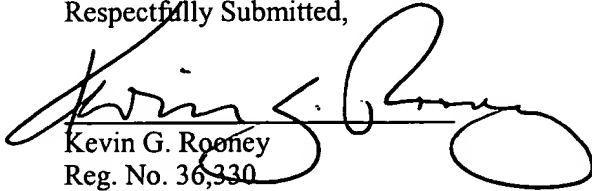
**DEPOSIT ACCOUNT AUTHORIZATION**

- ☐ Please charge Deposit Account No. 23-3000 in the amount of     .
- ☒ The Commissioner is authorized to charge any fees under 37 CFR 1.16 and 1.17 which may be required during the entire pendency of the application, or credit any overpayment, to Deposit Account No. 23-3000. A duplicate of this transmittal is attached.
- ☐ THE PAYMENT OF FEES IS BEING DEFERRED.
- 

WOOD, HERRON & EVANS, L.L.P.  
2700 Carew Tower  
441 Vine St.  
Cincinnati, Ohio 45202  
(513) 241-2324

KGR/msh

Respectfully Submitted,

  
Kevin G. Rooney  
Reg. No. 36,330

05985250 110304